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U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives

Firearms Transaction Record Part I Over-the-Counter

OMB No. 1140-0020

V be	ARNING: You may not receive used to determine whether you ontrol Act, 18 U.S.C. §§ 921 et.	e a firearm if p u are prohibited	rohibited b I under law	y Federal or from receiv	State law. Ting a firearm	he inform . Certain	ation you provide violations of the C	will Transfe Gun Serial N		nsaction
Pi th	repare in original only. All entr ils form. "PLEASE PRINT."							on Electro	nic	
_	75 C	Section A	- Must Be	Completed P	ersonally By	Transfere	e (Buyer)			
l. L	Transferee's Full Name ast Name	1	First Name				Middle Name (I)	Cno middle nam	v state "	אאאיי)
	00		Heon				Jong	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	2, 171110	,
2.	Current Residence Address (U	J.S. Postal abbr	eviations ar	e acceptable	. Cannot be	a post offi		Para		
	number and Street Address 400	افنسا	·	_	04 CA 31		ounty Hy 2018	1 1	IP Code	HY.
1-	7817-Coll-Rd-Apt-4208-	4pt 1201	·Dallas (yler).C	South Co	TX 7	5252 - フェフ	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
3.	Place of Birth		<u> </u>	4. Height	5. Weight	6. Gender			12.1	<u> </u>
U	.S. City and State -OR	- Foreign Cou	ntry	Ft. 5	(Lbs.)	X Male	1	Day	Year	
	•	South Korea	•	In. 9	190	Fem	ale 08	28	1993	
8.	Social Security Number (Option	nal but will beln	nravant nvicia		<u> </u>	<u> </u>	entification Numbe			· C
	00-51-5475	mu, our michelp j	n eveni misia	iemijicunon)	•	ons for Que		а (ОРИУ и арр	iicaoie (
		la Day (Cl. 1						······································		
		b. Race (Check		•						
	Hispanic or Latino	American India	an or Alaska	Native	Black or Afri	can Americ	can	White		
2	Not Hispanic or Latino X	Asian			Native Hawa	iian or Oth	er Pacific Islander			
11	Answer questions 11.a. (see exceptions 11.a.)	ions) through 11.1.	and 12 (if app	olicable) by ch	ecking or mark	ing "yes" or	"no" in the boxes to	the right of the o	uestions.	
a,	Are you the actual transferee/bu	yer of the firear	m(s) listed o	on this form?	Warning: Y	ou are no	t the actual buyer	if you are	Vna	No
	acquiring the firearm(s) on be	half of another	person. If	you are not	the actual bu	yer, the de	ealer cannot trans	fer the firearm	(s) [V]	
to you. (See Instructions for Question 11.a.) Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b.										
b.	Are you under indictment or in	formation in any	court for a	felony, or any	y other crime.	for which	the judge could im	prison you for	Yes	No
more than one year? (See Instructions for Question 11.b.) c. Have you ever been convicted in any court of a felony, or any other crime, for which the judge could have imprisoned you for more							図			
c.	than one was even if you read	n any court of a	felony, or a	ny other crim	e, for which t	he judge co	ould have imprison	ed you for mor	e Yes	No
than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.) d. Are you a fugitive from justice?						 				
a. The you a tagnite from Justice:						Yes	No X			
e.	Are you an unlawful user of, or	addicted to, mar	ijuana or an	y depressant,	stimulant, na	rcotic drug	or any other conti	rolled substance	7 Yes	No
f.									1 1 1	X
••	Have you ever been adjudicated authority that you are a danger	to vourself or to	others or a	nciudes a del re incompate	ermination by	v a court, b	oard, commission,	or other lawful	Yes	No
	committed to a mental institution	n? (See Instruct	ions for Que	estion 11.f.)	n to manage	א וויאט יווטע	gjairs) OK have yo	on ever been		図
g.	Have you been discharged from				conditione?				Yes	No
										X
11,	Are you subject to a court order such partner? (See Instructions	for Ouestion 11	from harassi 4)	ing, stalking,	or threatenin	g your child	d or an intimate pa	rtner or child of	Yes	No
										X
l. —	Have you ever been convicted in	n any court of a m	nisdemeanor	crime of don	nestic violenc	e? (See Ins	structions for Ques	tion 11.í.)	Yes	No
j.	Have you ever renounced your	United States citi	izenshin?						Yes	No
						X				
k.	Are you an alien illegally in the								Yes	No
1.	Are you an alien admitted to the	United States un	nder a nonin	nmigrant visa	? (See Instra	ctions for	Question III III	1011 answard	1	
	The tree with discount to the tree to	espona to attesti	on 12 ana p	roceed to au	estion 13				Yes	No X
12.	If you are an alien admitted to the	e United States	under a noni	mmigrantici	10 do f-11	within any	of the exceptions	set forth in the	1	
							1 12.) If question 1.	1.1. is answered	Yes	No
13							-		-	
	What is your State of residence (if any)? (See Instructions for	one if appli	ir country of	f citizenship? Ju are a citize	(List/check)	nore than	15. If you are not	a citizen of the	United S	States,
	Question 13.)	proceed to a	question 16.				what is your t	J.Sissued alies	number	r or
Te	xas .		Specify)	[A] Unii	ted States of A	America	admission nui	noer/		
Not	e: Previous Editions Are Obsolete	1	Transferen	/B) 6						

Page 1 of 6

Transferee (Buyer) Continue to Next Page STAPLE IF PAGES BECOME SEPARATED

ATF Form 4473 (5300.9) Part I Revised April 2012

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I certify that my answers to Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions

on ATF Form 4473. I understand that answerin Federal law, and may also violate State and/or lo 11.k. is prohibited from purchasing or receiving purchasing or receiving a firearm, unless the perwritten statement, or exhibiting any false or missunder Federal law, and may also violate State ar of resale for livelihood and profit without a Fede	ocal law. I understand t a firearm. I understand rson also answers "Yes" represented identificatio id/or local law. I furthe	hat a person who answer d that a person who answ to question 12. I also un on with respect to this tra r understand that the rep	s "yes" to any ers "yes" to o derstand than asaction, is a etitive purch	y of the quest question 11.1. t making any crime punish ase of firearn	ions 11.b. through is prohibited from false oral or able as a felony
16. Transferee's/Buyer's Signature				17. Certifica	tion Date
	#			11/18/201	6
Steet	ion B - Wust Be Comple	ted By Transferor (Seller)	<u></u>	
18. Type of firearm(s) to be transferred (check or n	iark all that apply):	19. If sale at a gun show	or other quali	fying event.	
	um (Frame, Receiver, etc. tions for Question 18.)	Name of Event			
20a. Identification (e.g., Virginia Driver's license (VA)	DI) or other valid governme		See Instructi	one for Ougstio	n 20 a l
Issuing Authority and Type of Identification	Number on Identification			ate of Identific	
Texas License To Carry Handgun	06735130		08	28	2020
20b. Alternate Documentation (if driver's license o Question 20.h.)	r other Identification docu	ument does not show curre	nt residence d	l address) (See 1	Instructions for
20c. Aliens Admitted to the United States Under a grant visa prohibition. (See Instructions for Q	Nonimmigrant Visa Must uestion 20.c.)	Provide: Type of docume	ntation showi	ng an exception	on to the nonimmi-
Questions 21, 22, or 23 Must Be Complete. 21a. Date the transferee's identifying information in ted to NICS or the appropriate State agency: (I	n Section A was transmit-				
21c. The response initially provided by NICS or the agency was: Proceed Delayed The firearm(s) may be (Miss Information date provided permits (optional))	transferred on	Denied	ed from NICS	S or the approp (date) (date) (date)	oriate State agency:
21e. (Complete if applicable.) After the firearm wa			om NICS or		
21f. The name and Brady identification number of	the NICS examiner (Option	onal)			
(name) 12. No NICS check was required because the	transfer involved only Na	(number)	n(s) (See Inc	tructions for (Quarties 22.1
23. X No NICS check was required because the	buyer has a valid permit i				•
exemption to NICS (See Instructions for State and Permit Type Date o		Timelestica Data (CC)	LT.	'. NT 1 4	
Texas - License to Carry Handgun	1 issuance (if uny)	Expiration Date (if any) 08/28/2020		mit Number <i>(i</i> 06735130	(any)
Section C -	Must Be Completed Pe	rsonally By Transferee (I	Buyer)		
If the transfer of the firearm(s) takes place on a diffe Section C immediately prior to the transfer of the fi	erent day from the date the rearm(s). (See Instruction	at the transferee (buyer) sins for Question 24 and 25.	gned Section	A, the transfer	ee must complete
certify that my answers to the questions in Secti	on A of this form are sti	ll true, correct and comp			
24. Transferee's/Buyer's Signature			3	25. Recertific	cation Date
	Transferor (Seller) Con	ntinue to Next Page		·	

STAPLE IF PAGES BECOME SEPARATED

Section D - Must Be Completed By Transferor (Seiler) 26. Manufacturer and/or Importer (If the manufacturer and importer are different, the FFL should include both.) Smith and Wesson M&P16 & Serial Number Ter1011 Riffie 5.58x45xirin MW 11/K 1 WW 11/K 1 WW 11/K 1 Sone Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.) Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.) The Person Transferring The Firearm(s) Must Complete Questions 33-36. For Denied/Cancelled Transactions, The Person Who Completed Section B Must Complete Questions 33-35. Tertify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C If the transfer does not occur on the day Section A was completed); (2) my erification of the identification noted in question 20s (and my reverification of transfer if the names and season deviced); and (3) the information in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. M(Ichael May/leid) M(Ichael May/leid) M(Ichael May/leid)			1669			
Manufacturer and importer are different, the FFL should include both.) Serial Number Type (pistol, revolver, rifle, shotgan, receiver, frame, etc.) (See instructions for question 29) Smith and Wesson M&P15 Sport 2- TE71011 Rifle 5.58x45/kirk W W Y Y Solution 29) Smith and Wesson M&P15 Sport 2- TE71011 Rifle 5.58x45/kirk W W Y Y Solution 29) Smith and Wesson M&P15 Sport 2- TE71011 Rifle 5.58x45/kirk W W Y Y Solution 29) Solution 29) Smith and Wesson M&P15 Sport 2- TE71011 Rifle 5.58x45/kirk W W Y Y Solution 29) Solution 29) Solution 20 Solution 30c. For Use by FFL (See Instructions for Question 30c.) Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.) Academy Store 48 8668 South Broadway Avonue Tyler, TX 75703 The Person Transferring The Firearm(s) Must Complete Questions 33-36. For Denied/Cancelled Transactions, The Person Who Completed Section B Must Complete Questions 33-35. Tertify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20s (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20s (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20s (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); (3) my verification of the identification noted in question 20s (and my reverification at the time of transfer if the transfer does not occur on the day		Section D - M	ust Be Completed By	Transferor (Seller)		
30a. Total Number of Firearms (Please handwrite by printing e.g., one, two, three, etc. Do not use numerals.) 30b. Is any part of this transaction a Pawn Redemption? Yes No Complete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.) Acadomy Store 48 8668 South Broadway Avenue Tyler, TX 75703 The Person Transferring The Firearm(s) Must Complete Questions 33-36. For Denied/Cancelled Transactions, The Person Who Completed Section B Must Complete Questions 33-35. Teertify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. 33. Transferor's/Seller's Name (Please print) 34. Transferor's/Seller's Signature 35. Transferor's/Seller's Title 36. Date Transferred	Manufacturer and/or Importer (If the manufacturer and importer are different,		Seria		Type (pistol, revolver, rifle, shotgun, receiver, frame, etc.) (See instructions for	Caliber or Gauge
Omplete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.) Academy Store 48 8668 South Broadway Avenue Tyler, TX 75703 The Person Transferring The Firearm(s) Must Complete Questions 33-36. For Denied/Cancelled Transactions, The Person Who Completed Section B Must Complete Questions 33-35. I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20a (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); (2) my verification of the identification in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. 33. Transferor's/Seller's Name (Please print) 34. Transferor's/Seller's Signature 35. Transferor's/Seller's Title 36. Date Transferred	Smith and Wesson				Riffe	5.56x45mm
Omplete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.) Academy Store 48 8668 South Broadway Avenue Tyler, TX 75703 The Person Transferring The Firearm(s) Must Complete Questions 33-36. For Denied/Cancelled Transactions, The Person Who Completed Section B Must Complete Questions 33-35. I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20a (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); (2) my verification of the identification in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. 33. Transferor's/Seller's Name (Please print) 34. Transferor's/Seller's Signature 35. Transferor's/Seller's Title 36. Date Transferred						
Omplete ATF Form 3310.4 For Multiple Purchases of Handguns Within 5 Consecutive Business Days 31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.) Academy Store 48 8668 South Broadway Avenue Tyler, TX 75703 The Person Transferring The Firearm(s) Must Complete Questions 33-36. For Denied/Cancelled Transactions, The Person Who Completed Section B Must Complete Questions 33-35. I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20a (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); (2) my verification of the identification in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. 33. Transferor's/Seller's Name (Please print) 34. Transferor's/Seller's Signature 35. Transferor's/Seller's Title 36. Date Transferred						
31. Trade/corporate name and address of transferor (seller) (Hand stamp may be used.) Academy Store 48 8668 South Broadway Avenue Tyler, TX 75703 The Person Transferring The Firearm(s) Must Complete Questions 33-36. For Denied/Cancelled Transactions, The Person Who Completed Section B Must Complete Questions 33-35. I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20a (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); and (3) the information in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. 33. Transferor's/Seller's Name (Please print) 34. Transferor's/Seller's Signature 35. Transferor's/Seller's Title 36. Date Transferred	one		e.g., one, two, three, etc.	Do not use numerals.)		
three and last five digits of FFL Number X-XX-XXXX.) Academy Store 48 8668 South Broadway Avenue Tyler, TX 75703 The Person Transferring The Firearm(s) Must Complete Questions 33-36. For Denied/Cancelled Transactions, The Person Who Completed Section B Must Complete Questions 33-35. I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20a (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); and (3) the information in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. 33. Transferor's/Seller's Name (Please print) 34. Transferor's/Seller's Signature 35. Transferor's/Seller's Title 36. Date Transferred	Complete ATF Form	3310.4 For Multi	iple Purchases of Hai			
The Person Who Completed Section B Must Complete Questions 33-35. I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20a (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); and (3) the information in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. 33. Transferor's/Seller's Name (Please print) 34. Transferor's/Seller's Signature 35. Transferor's/Seller's Title 36. Date Transferred	used.) Academy Store 48 8668 South Broadway Avenue	transferor (seller) (Hand stamp may be	three and last five (Hand stamp may l	digits of FFL Number X-X be used.)	
I certify that my answers in Sections B and D are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. On the basis of: (1) the statements in Section A (and Section C if the transfer does not occur on the day Section A was completed); (2) my verification of the identification noted in question 20a (and my reverification at the time of transfer if the transfer does not occur on the day Section A was completed); and (3) the information in the current State Laws and Published Ordinances, it is my belief that it is not unlawful for me to sell, deliver, transport, or otherwise dispose of the firearm(s) listed on this form to the person identified in Section A. 33. Transferor's/Seller's Name (Please print) 34. Transferor's/Seller's Signature 35. Transferor's/Seller's Title 36. Date Transferred						15,
33. Transferor's/Seller's Name (Please print) 34. Transferor's/Seller's Signature 35. Transferor's/Seller's Title 36. Date Transferred	I certify that my answers in Sections B an on ATF Form 4473. On the basis of: (1) tipleted); (2) my verification of the identific day Section A was completed); and (3) the	d D are true, correct the statements in Secution noted in questinformation in the conformation in the conf	ct, and complete. I have ction A (and Section C tion 20a (and my rever current State Laws and	e read and understand if the transfer does not ification at the time of t I Published Ordinances	the Notices, Instructions, occur on the day Section a ransfer if the transfer does, it is my belief that it is no	A was com- s not occur on the
	33. Transferor's/Seller's Name (Please pr	rint) 34. Transfero	r's/Seller's Signature	35. Transferor	's/Seller's Title 36.	

NOTICES, INSTRUCTIONS AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. § 923 may determine if he or she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the buyer of certain restrictions on the receipt and possession of firearms. This form should only be used for sales or transfers where the seller is licensed under 18 U.S.C. § 923. The seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the seller must be familiar with the provisions of 18 U.S.C. § 921-931 and the regulations in 27 CFR Part 478. In determining the lawfulness of the sale or delivery of a long gun (rifle or shotgun) to a resident of another State, the seller is presumed to know the applicable State laws and published ordinances in both the seller's State and the buyer's State.

After the seller has completed the firearms transaction, he or she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his or her permanent records. Such Forms 4473 must be retained for at least 20 years. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), as long as all of the seller's completed Forms 4473 are filed in the same manner. FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not complete after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his or her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name) or chronological (by date of transferce's certification) order.

If you or the buyer discover that an ATF form 4473 is incomplete or improperly completed after the firearm has been transferred, and you or the buyer wish to make a record of your discovery, then photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. You only should make changes to Sections B and D. The buyer should only make changes to Sections A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of your permanent records.

Over-the-Counter Transaction: The sale or other disposition of a firearm by a seller to a buyer, at the seller's licensed premises. This includes the sale or other disposition of a rifle or shotgun to a nonresident buyer on such premises.

State Laws and Published Ordinances: The publication (ATF P 5300.5) of State firearms laws and local ordinances ATF distributes to licensees.

Exportation of Firearms: The State or Commerce Departments may require you to obtain a license prior to export.

Section A

Question 1. Transferee's Full Name: The buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the seller. Two persons (other than the seller) must then sign as witnesses to the buyer's answers and signature.

When the buyer of a firearm is a corporation, company, association, partnership, or other such business entity, an officer authorized to act on behalf of the

Page 3 of 6

William Kinn Will

ATF Form 4473 (5300.9) Part 1 Revised April 2012

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G#10564

OMB No. 1140-0020

U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives

Firearms Transaction Record

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.	on Sei	rial
Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."	Mr.	, 1
Section A - Must Be Completed Personally By Transferee/Buyer		
1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record "IO" after the initial. If no middle initial or name, record "NMN".) Last Name (Including suffix (e.g., Jr, Sr, II, III)) First Name Middle Name YOO HEON JON'G		
2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)		
	ZIP C	ode
· · · · · · · · · · · · · · · · · · ·	75703	
1909 Sylid Ln Apt 101		
3. Place of Birth U.S. City and State Y		
	93	
8. Social Security Number (Optional, but will help prevent musiaentification) 9. Unique Personal Identification Number (UPIN) if applicable (See Instructions for Question 9.)		
10.a. Ethnicity 10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answered.)		
Hispanic or Latino American Indian or Alastra Valive Plack or African American White		
Not Hispanic or Latino X Asian Native Hawaiian or Other Pacific Islander 11. Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.	70	No
a. Are you the actual transferee/buyer of the fireams(s) listed on this form? Warning: You are not the actual transferee/buyer if you are	1 es	1140
acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11 a.)	Ø	
b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11 b.)		3
c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)		2
d. Are you a fugitive from justice? (See Instructions for Question 11.d.)	Ø	8
e. Are you an unlawful user of, or address to, marijuana or any depressant, stimulant, surcotic drog, any other controlled substance? Warning: The use or possession of marijuana remains unlawful under kederal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.	Ø	8
f. Have you ever been adjudicated as a mental defective GR have you ever been committed to a mental institution? (See Instructions for Question 11.f.)		3
g. Have you been discharged from the Armed Forces under ElaLongrable conditions?	Ø	8
h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child of an intimate partner or child of such partner (See Instructions for Question 11.h.)		8 20
i. Have you ever been convicted in any court of a miscentianor crime of domestic violence? (See Instructions for Question 11.i.)	Ø	183
12.a. Country of Citizenship: (Check/Liss more than one, if analicable, Nationals of the United States may check U.S.A.)	1.2	100
United States of America (6. Sec. 19)	177.	3.7
12.b. Have you ever renounced your princh States chreaship?	Yes	No ES
12.c. Are you an alien illegally or out awaitly in the United States?		8
12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)	D	12
12.d.2. If "yes", do you fall within any of the exceptions stated it. the instructions?		- E
13. If you are an alien, record your U.Sissued Alien or Admission number (AR#, USCIS#, or 194#):		
Previous Editions Are Obselet: Transferee/Buyer Continue to Mext Page		

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I certify that my answers in Section & are true, cor Form 4473. I understand that acceptaint "yes" to Federal law, and may also violate State and/or local and/or 12.b. through 12.c. is prohibited from purel prohibited from receiving or possessing a firearm, 18.c. I also understand that making any false and transaction, is a crime punishable as a felony undepurchase of firearms for the purpose of resale for the purpose of the purpose of resale for the purpose of the purp	question 11.s. If I am not a I law. I understand that a tasing or receiving a fireau unless the person answers or trailer statement, or ear r Federal law, and may als	the actual transferee buyer is a a person who answers "yes" to rm. I understand that a person "yes" to question 12.d.2. and pathibuing any false er misrepresso violate State and/or local law	crime punishable as a felony under any of the questions 11.b. through 11.i who answers "yes" to question 12.d.1. is provides the documentation required in sented identification with respect to this // I further understand that the repetitive
Instructions for Question 14.) 14. Transferee's/Buyer's Signature	Same and the control of the second to the second second	myyd stae lei'r bur pieb daeddir diwddigaellogaidd awbaillyn gyfreiliad arwydd y gyfreiliad ar yr berllyn y g	15. Certification Date 2017-11-12-6
- f	ction 'Must Be Comple	eted Py Yransferor/Soller	
16. Type of firearm(s) to be transience (oneck or ma.	જે લાદે જાયા દાદ છોડ્ડા:	17. if wansier is acciqualifying	gun show or event:
chotgurs)		Nan : of Function:	
TEXAS CONCEALED HANDGUN LICENSE 18.b. Supplemental Government Issued Documer (att	Number on Identification 06735130	h N	xpiration Date of Identification (if any) Month Day Year B 28 2020
18.c. Exception to the Nonimmugrant dian Prohibit documentation showing the exception to the prohibit documentation showing the exception to the prohibit Questions 19, 20, or 21 Mast the Compiler 19.a. Date the transferee's/buyer's identifying information.	while the lond attach a copy and the To The Transfer ation in Section A was	to this ATT Foon 4674 (See Inst Of The Theavin(s) (See Instruc	ctions for Question 18.c.)
transmitted to NICS or the appropriate State and Month Day Year 19.c. The response initially (first) provided by NICS		19 d 'The following response(:	s) was/were later received from NICS or the
agency was. Proceed Price Denied Cancelled Price Outside in the content of	e hvar iv ver, on vae lang vaats (opslonal),	sporopriete Mate agency "receed L. Darled Cancelled No response was provided	(date) Overtumed (date) (date)
19.e. (Complete if applicable.) After a factor with wa		restant was received from AIC Denied []] Cancelle	
19.f. The name and Brady identification number of the control of t	he NICS e continue. (Option	al) 19.g. Name of FFL Empl JOHNME FOST	oyee Completing NICS check. (Optional)
20. No NICS check was seg in all assume a bankfar NFA firearm(s), as reflected on the approve	Ngroun. Feet, was completed NFA 2, of continuous (See I)	nea do, vojiba NVA a i orial pro nstrucija ie for Quastion (0)	ocess on the individual who will receive the
No NICS theck was required formed for the exemption to NICS. (See Instance for the form)	rants can be perbasin velid p	period Jose the State where the t	ransfer is to take place, which qualifies as an
Issuing State and Permit Type Date of TEXAS, CCP/CPL/CHL/LTC	Sedanos (Lang)	Exp., alion Date (1/2019) 8/26/2020	Permit Number (if any) 06735130
Section If the transfer of the fireann(s) takes there on a differ Section C immediately prior to the transfer of the fire	wat day from the date that the	Porsonally By Transferee/Buyer he transferee/buyer signed Section for Queetica 22 and 2 (.)	
I certify that my answers to the questions in Section 22. Transferee's/Buyer's Signature	m A of this view are still t	trre, er ir et, and complete.	23. Recertification Date
Page 2 of 6	Fanstorse/Scher Couring		ATF E-Form 4473 (5300.9)

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C. 27				

Be Completed By.	Fransferor/Seller Even If Tl	ie Firearm(s) is Not 🤈	Fransferred	
24. 25. Manufacturer and Importer (If any) (If the Model manufacturer and importer are different, (If Designated) the FFL must include both.)		ber	27. Type (See Instructions for Question 27.)	28. Caliber or Gauge
PARDNER PUMP	NZ512150	·	SHOTGUN	12 GA
plete ATF Form 3	310.4 For Multiple Purchas	es ot Handguns With	nin 5 Consecutive Busi	ness Days
ase handwii c liy p	rinting e.g., zero, one, 30. C	licck if any part of this	s transaction is a pawn i	edemption.
ne		Line Number(s) Fr	om Question 24 Above:	
Question 31)	32. C		-	e party transfer,
	[(See Instructions)	for Question 32.)	
Tues To				
				recorded in
ducted from a qui ents of 18 U.S.C. 9 uses in Section A. (i ne of transfer 16.8)	difying gun show or event in 22(c). Unless this transaction of applicable and Section C, if applicable and C was completed; and	o the same State in w on has been denied o o; (2) my verification of (3) State or local la	hich the licensed pren r cancelled, I further c of the identification re w applicable to the fire	sises is located) certify on the ecorded in earms business
35. Transfe	eror's/Seller's Signature	36 Transferor's/S	Seller's Title 37.	Date Transferre
	4,000/	Phink	roker 11.	-6-17
edion on this form the demains if invisive may led in Section A, and tipt and possession of rmine the lawfelness ction. Consequently, to of 18 U.S.C. 321-331 ming the lawfel as the section of the U.S.C. 321-331	200 Little transfer of sample and the certification of the certification of the rate of th	of a fi, sain, is cenied/teniet, plet al initial a PNICS of a line and the PNICS of a line and the property of transfered of transfered or the action of the canadarastic and the canadarastic and the canadarastic and appropriate confidence and and the canadarastic and appropriate confidence and and the canadarastic and and the canadarastic and	celled by NICS, or if for a check is initiated, the licens t least 5 years. Forms 447 or take place shall be separ chronological (by date of buyer discovers that an All ter the firearm has been tra	ny other reason the see must retain the 3 with respect to ately retained in transferee's FForm 4473 is ansferred, and the
	Model (If Designated) PARDNER PUMP PARDNER PUMP Passe handwide by passes handwide by passes and fast be used.) Passes in Section A. (in the Notices, handwide from a queents of 18 U.S.C. 9 asses in Section A. (in the of transfer if Section A. (in the lawfelness continue the lawfelness	Model (If Designated) PARDNER PUMP NZ512150 Pardner Pump NZ512150	Model (If Designated) PARDNER PUMP NZ512150 plete ATR Form 3310.4 For Multiple Purchases at Handguns With take handwrichy printing e.g., zero, one. 30. Chock if any part of this take handwrichy printing e.g., zero, one. 32. Chock if this transaction [Model (If Designated) PARDNER PUMP NZ512150 SHOTGUN SHOTGUN

ordinances in both the transferor's/seller's State and the transferee's/buyer's State. (See ATF Publication 5300.5, State Laws and Published Ordinances)

Generally, ATF Form 4473 must be completed at the licensed business premises when a firearm is transferred over-the-consider accleral law, 18 US C server, allows a licensed importer, manufact no a citabatic will a fixen a real nonlicensee who does not appear in possers of the licensee's train as put your oneity if the transferee/buyer meets centain reor are ments. These inquirements are unforth in section 922(c), 27 CFR 478 96(n), and ATA Procedure 2012 ...

After the transferor/seller has complete, the treatms transaction, home must make the completed, original ATF Fon 14-15 fishich includes the recices, General Instructions, and Definitions), and any supporting documents, part of his her permanent records. Such Forms 447 cans be retained for at least 20 years and after that period may be submitted to ATA. Filang may be chronological, it, date of disposition), alphabetical (by name of per thuser), or numerical (by transoction serial number), as long as all of the transic of election's completed Forms 4473 are filed in the same manner.

revisions to the photocopy. The transferor/seller should only make changes to Sections B and D. The transferee/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the range in wholler's penyment records.

Tage that on of Phonic . The State or Commerce Departments may require a formation conjection to obtain a license prior to export. Warning: Any person who copacts a firearm or that t proper authorization may be fined not more than \$1,000,000 eno/er impresented for not more than 20 years. See 22 U.S.C. 2778(c).

Section A.

The upic dres/buyer must personally complete Section A of this form and certify (sign) that the at swers are true, correct, and complete. However, if the transferee/ buyer is unable to read and/or write, the answers (other than the signature) may be completes by another person, excluding the transferor/seller. Two persons fother than the transferon/eater, them then sign as witnesses to the transferee's/buyer's enswers and signature withfication in question 14.

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When the transferee/buyer of a firearm is a corporation, company, a contain, partnership, or other such business entire to effice authorized to set to be thalf of the business must complete Section 4 of the form with his/business must complete Section 4 of the form with his/business must complete Section 4 of the form with his/business must complete Section 4 of the form with his/business cut of and attaches written statement, executed under penalties of perjury, stating: (A) the form with a section acquired for the use of and will be the property of that business entity; and (B) the name and address of that business entity.

Question 1. If the transferee's/buyer's name in question 1 is illegible the transferor/seller must print the transferor's 1 is it's name above the lower witten by the transferee/buyer.

Question 2. Current Residence Addres z rural route (RR) bigs. speed provided the transferee/buyer lives in a Study c -locality where z is a singleted a legal residence address. County and Parish are one and the same

If the transferee/buyer is a member of the printed nortes on active early, his/her State of residence is the State in which inside permanent day state in a rocated. If the service member is acquiring a firearm in a state where his/her permanent duty station is located, but resides in a difference can, the transferee/buyer must fist both his/her permanent duty station address and his/her residence address in response to question 2. If the transferee/buyer has two Sames of residence, the local affected buyer should list his/her current residence adversa in response to question 2 (e.g., if the transferee/buyer is purchasing a firearm; him having at his/her weekend home in State of, her/of call a wifile the ceidness in Sames to question 2).

Question 9. Unique Persocal Identification Number (UPIN): 1 - construes/buyers approved to have information a six caland about them in the 1.21 NHCS Voluntary Appeal File, NICS will provide from with a UPIN, which the trensferee/buyer should record in question 9. The like uses should provide the UPIN when conducting background checks through the WCS or the State POC.

Question 10.a. and 10.b. Federal recurations (27 CFR 478.124(e)) correquire licensees to obtain the race of the wanter erbayer. This information neits the FBI and/or State POC make or rule out potential matches during the bacaground check process and can assist with criminal investigations. Pursuant to Orfice of Management and Budget (OMB), effective January 1, 2003, all Pederal agencies requiring collection of race and ethnicity information on administration forms and records, were required to collect this information in a standard forms. (See 62 FIC 58782) The standard OMB format consists of two categories for each or ethnicity. "Hispanic or Latino," and "Not Hispanic or Latino" and five categories for data on race: American Indian or Amska Native Court Final, brack or African Experience. Native Hawaiian or Citier Pacific Islander and Witte.

Ethnicity refers to a person's heritage. Prisons of Cuban, Mexican Theoret Plean, South or Contral American, or other Special cubars or origin, se sections of race, are considered Hispanic or Latino.

Race - one or more of the following responses must be selected: (1) Accountant Indian or Alaska Native - A person naving origins in any of the original peoples of North and South America (including Certail America), and who meritaris a tribal affiliation or community attachment, (2) Arbut - A person having prights - any of the original peoples of the Far Bast. South, an Asia, or the Indian Rabot. As Any of including, for example, Cambodia, China, Indian, Japan, Rogan, Indian, a. P. Risstar the Philippine Islands, Thailand, and Vietnem (5) Black or Afficial interiors - A person having origins in any of the Black or all groups of Afficial begins the Hawaiian or Other Pacific Islander - A verson basing origins in any of the Philippine Islands; and (5) Vietnem 4 person having origins in any of the enginet peoples of Europe, the Market, of North Africa Any other race or elimine.

Question 11.a. Actual Transferce/Svy:

the actual transferce/Svy:

the actual transferce/Svy:

the fire arm for the shelling of the shelli

Actual TRANSFEREE/buyer example . It is all as Gable Journal antichase a firearm for Mr. Smith fishe may or more wide counts with Mr. a reasonable Mr. Jones the money for the Arearm, who makes a Mr. 194 Page 4 of \hat{n}

ACTUAL TRANSFEREE/BUYER of the firearm and must answer "NO" to curstion 11.a. The bothsee may not transfer the firearm to Mr. Jones. However, if Mr. Brown buys the firearm with his own money to give to Mr. Black as a gift fwith no service or tangible thing of value provided by Mr. Black), Mr. Brown is the actual transferee/buyer of the firearm and should answer "YES" to question 11.a. However, the transferor/seller may not transfer a firearm to any person he/she knows or has reasonable cause to believe is prohibited under 18 U.S.C. 922(g), (n) or (x). EXCEPTION: If a person is picking up a repaired firearm(s) for another person, he the is not transfer to enswer 11.a. and may proceed to question 11.b.

Port steph 11,b. - 12. Gene ally, 18 U.S.C. 922(g) prohibits the shipment, troop in latter, receipt for postession in or affecting interstate commerce of a firearm by one who: has been convicted of a felony in any Federal, State or local court, or any of a crime, punishable by imprisonment for a term exceeding one year (this does not no vide Suite visitemeanors punishable by imprisonment of two years or less); is a fugitive from justice; is an unlawful user of, or addicted to, marijuana or any appressant, stimulant, or narcotic drug, or any other controlled substance; has been adjudicated as a mental defective or has been committed to a mental institution; has the adjudge great two the Armed Forces under dishonorable conditions; is subject to contain restranting collegs; convicted of a misdementor crime of domestic violence the or Pederal, State of Tribal law; has renounced his/her U.S. citizenship; is an alien the party in the United States or an alien admitted to the United States under a national alignant visa. Surfarmore, section 922(n) prohibits the shipment, temespeciation, or recent in or affecting interstate commerce of a firearm by one who in 4.4 indictment or information for a felony in any Federal, State or local court, or any other canne, panishable by imprisonment for a term exceeding one year. An information is a formal accusation of a crime verified by a prosecutor,

A monder of the Act of Forces must answer "yes" to 11.b. or 11.c. if charged with an offence the way cities of the a General Court Martial, or at which the member was advicted. Discharged "under dishonorable conditions" means separation from the Armed Forces resuming from a dianonorable discharge or dismissal adjudged by a Constal Court-Martial. That term does not include any other discharge or separation from the Armed Forces.

LXCEPTION: A person who has been convicted of a felony, or any other crime, for wealth me judge could have imprisoned the person for more than one year, or who has been convicted of a mill immediate crime of domestic violence, is not prohibited from purposes ag, receiving, a possessing a tirearm if: (1) under the law of the jurisdiction where the conviction of correct, the person has been pardoned, the conviction has been exputaged or set aside on the person has had their civil rights (the right to vote, sit on a right and hold public office) taken away and later restored, AND (2) the person is not protubited by the law of the jurisdiction where the conviction occurred from teceromy or possessing iteratus. Tersons subject to this exception, or who receive there and disabilities and 1 U.S.C. 925(c), should answer "no" to the applicable guesti:

Question VI.d. Finglitive from Justice: Any person who has fled from any State to avoid in recouncil to a follow or a misdemeanor; or any person who leaves the State to arong giving resumeny in any criminal proceeding. The term also includes any person who knows that insdemeanor or fellony charges are pending against such person who knows that insdemeanor or fellony charges are pending against such person who knows as State or prosecution.

Quantification by a court, Lord and A. I). (A. the involudement Defective: A determination by a court, Lord), or maniform, or a let involudement in the a person, as a result of marked size to the intensity may be not extenditions, incompetency, condition, or disease: (1) is a danger to himself or to others; or (2) tacks the mental capacity to contract or maniform to as own affect. This term shall include: (1) a finding of insanity by a court in a colorate case, and Contract persons found incompetent to stand trial or found not gliony of theson of a contractal responsibility.

Charm or f to police of 16 stitution: A formal commitment of a person to a mental institution, also a color on religionation, or other lawful authority. The term includes committee to the state of the

 $F(\mathcal{H}) = \text{adN}, \text{ to a corona PICS improvement Amendments Act of 2007, a person W + 1. An adjaconal action and defective or committed to a mental institution in the coronal act mobilities by the adjudication or commitment if$

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the person has been granted relief by the adjudicating/committing State pursuant to a qualifying mental health relief from disabilities program. Also, a person who has been adjudicated as a mental defective or committed to a mental institution by a department or agency of Federal Government is not prohibited by the adjudication or commitment if either: (a) the person's adjudication or commitment was set-aside or expunged by the adjudicating/committing agency; (b) the person has been fully released or discharged from all mandatory treatment, supervision, or monitoring by the agency; (c) the person was found by the agency to no longer suffer from the mental health condition that served as the basis of the initial adjudication/ commitment; or (d) the adjudication or commitment, respectively, is based solely on a medical finding of disability, without an opportunity for a hearing by a court, board, commission, or other lawful authority, and the person has not been adjudicated as a mental defective consistent with section 922(g)(4) of title 18, United States Code; (e) the person was granted relief from the adjudicating/ committing agency pursuant to a qualified mental health relief from disabilities program. Persons who fall within one of the above exceptions should answer "no" to question 11.1. This exception to an adjudication or commitment by a Federal department or agency does not apply to any person who was adjuncated to be not guilty by reason of insanity, or based on tack of mental responsibility, or found incompetent to stand trial, in any criminal case or under the Uniform Code of Military Justice.

Question 11.h. Qualifying Restraining Ord and Under 18 U.S.C. 7.22, means may not be sold to or received by persons subject to a court order men. (A) was issued after a hearing which the person received actual notice of and mac an opportunity to participate in; (B) restrains such person from harasong, stalking, or threatening an intimate partner or child or such intimate partner or person, or engaging in other conduct that would place an intimate partner or necessable fear of bodily injury to the partner or child; and (CKi) includes a finding that such person represents a credible threat to the physical softly of such intimate partner or child or (ii) by its terms explicitly profilests the use, attempted use, or interfered use of physical force against such intimate partner or child that would reasonable, we expected to cause bodily injury. An "intaine partner of a person is the spouse or former spouse of the person, the partent of a child of the person, or an intervidual who cohabitates or has cohabitated with the person.

Question 11.1. Misdemeanor Crime of Domestic Violence: A Federal, State, local, or tribal offense that is a misdemeanor under Federal, State, or tribal award has, as an element, the use or antempted use of physical force, or the directened use of a deadly weapon, committed by a current or former spouse, phient, in guardian of the victim, by a person with whom the victim snares a child in common, by a person who is cohabitating with, or has contabiled with the victim as a specuse parent, or guardian, or by a person similarly satisfied us a spouse, outene, or guardian of the victim. The term includes all misdemeanors that have as an element the use or attempted use of physical force or the toreatened and or a deadly weapon (e.g., assault and pattery), if the offense is committed by one of the defined parties. (See Exception to 11.0 × 12.1) A parson who has been convicted of a misdemeanor crime of domestic violent, also is not prohibited unless: (1) the person was represented by a lawyer or gave up the right to a lawyer or (2) in the person was cruttled to a jury, was tried by 1. jury, or gave up the right to a jury trial. Persons subject to this exception should answer "no" to 11.1.

Question 12.d. Immigration Status: An alien admitted to the United States under a nonimmigrant visa includes, among others, persons visiting the United States temporarily for business or pleasure, persons studying in the United States who maintain a residence abroad, and certain temporary foreign workers. Prese aliens must answer "yes" to this question and provide the additional documentation required under question 18.c. Permanent resident aliens and aliens tegrily admitted to the United States pursuant to either the Visa Visiver Program or to equilations otherwise exempting them from visa term a crossus may proper for 10 form (assista) and are not required to submit the additional documentation under question 18.c.

Question 13, U.S.-issued Alien Number of Annihilation Remaker: U.S. issued alien and admission numbers may be found for its following U.S. Department of Homeland Security documents: Legal Resident Card or Employments Linerization Card (AR# or USCIS#), Arrival/Department Record, Form 194, or Form 797A. (194#) Additional information can be obtained from when copyright for U.S. citizen of U.S. national then this question than it is self-it ank

Question 14. Under 18 U.S.C. $922(a)(1-a) = (1)^{\alpha} \text{ with or a person is employed in the business of dealing in firearms without a test <math>(2-A)$ around surger, the the business of dealing in firearms if ne/shelf, (1-a) = (3+A) around on, and thus a dealing in firearms as a regular course a = (2-a). A tracess what the polarity

objective of livelihood and profit through the repetitive purchase and resale of firearms. A license is not required of a person who only makes occasional sales, exchanges, or purchases of firearms for the enhancement of a personal collection or for a hobby, or who sells all or part of his/her personal collection of firearms.

Section B

Question 16. Type of Firearm(s): "Other" refers to frames, receivers and other firearms that are neither handguns nor long guns (rifles or shotguns), such as firearms having a pistol grip that expel a shotgun shell, or National Firearms Act (NFA) firearms, including silencers.

if a frame or receiver can only be made into a long gun (rifle or shotgun), it is still a frame or receiver not a handgun or long gun. However, frames and receivers are still "firearms" by definition, and subject to the same GCA limitations as any other firearms. See Section 921(a)(3)(B). Section 922(b)(1) makes it unlawful for a licensee to sell any firearm other than a shotgun or rifle to any person under the age of 21. Since a frame or receiver for a firearm, to include one that can only be made into a long gun, is a 'firearm other than a shotgun or rifle," it cannot be transferred to anyone under the age of 21, nor can these firearms be transferred to anyone who is not a resident of the State where the transfer is to take place. Also, note that multiple sales forms are not required for frames or receivers of any firearms, or pistel grip shotguns, since they are not "pistols or revolvers" under Section 923(g/3)(A).

Question 11. Qualifying Gun Show or Event: As defined in 27 CFR 478.100, a gun show or event is a function sponsored by any national, State, or local organization, devoted to the collection, competitive use, or other sporting use of firearms, or an organization or association that sponsors functions devoted to the collection, competitive use, or other sporting use of firearms in the community.

Question 18.a. Identification: Before a licensee may sell or deliver a firearm to a nonlicensee, the licensee must establish the identity, place of residence, and age of the transferee, buyer. The transferee/buyer must provide a valid government-issued photo identification document to the transferor/seller that contains the transferee/s/ouyer's name, residence address, and date of birth. A driver's license of an identification and issued by a State in place of a license is acceptable. Social Security cares are not acceptable because no address, date of birth, or photograph is shown on the cards. A combination of government-issued documents may be provided. See instructions for question 18.b. Supplemental Documentation.

If we transfered buyer is a member of the Armed Forces on active duty acquiring a firearm in the State where his/ner permanent duty station is located, but he/she has a dover's license from another State, the transferor/seller should list the transferor should lis

Question 18.b. Supplemental Documentation: Licensees may accept a combination of valid government-issued documents to satisfy the identification document requirements of the law. The required valid government-issued photo identification document bearing the name, photograph, and date of birth of transferce/super may be supplemented by another valid, government-issued comments showing the transferce/s/buyer's residence address. This supplemental document showing the transferce/s/buyer's residence address. This supplemental document showing the transferce/s/buyer's residence address. This supplemental document label. For example, if the transferce/buyer has two is need of identification presented. For example, if the transferce/buyer has two is need of identification presented. For example, if the transferce/buyer has two is need to residence and is trying to buy a handgun in State X, he may provide a Grand another government-issued document (such as a tax document) from State X sharped his relations address. A valid electronic document from a government we waster may be and an experience address.

Question 18.c. Envertions to the Nonlimmigrant Alien Prohibition and Acceptable Documentation: An anen admitted to the United States under a maximumigrant visa is not prohibited from purchasing, receiving, or possessing a florant, if the alica (1) is in possession of a hunting license or permit lawfully istract by the Vedera. Government, a State or local government, or an Indian tribe federally recognized by the Bureau of Indian Affairs, which is valid and unexpired; (2) as admitted to the Bureau of Indian Affairs, which is valid and unexpired; (2) as admitted to the prohibition from the Attorney General of the Unit of Plates; (4) is an official representative of a foreign government who is according to the Unit of States Government or the Government's mission to an interactional or seem from having its

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headquarters in the United States (5) is any after all representative of a freely government who is on route to or frest and a country to which the Lifen is accredited, (6) is an official of a foreign government or a distinguished foreign visitor who has been so designated by the Data ment of State; or (7) is a profin law enforcement officer of a friendly freely proventment entering the United States on official law enforcement I where it

Question 19. NTCS BACKGROUND CSB 1 RF 18 13.5 C 9226 or caree that prior to transferring any firearm to an article so a person, a license in prior at manufacturer, or dealer must first content on Sathanal Instant Crim at Background Check System (NICS). NICS 9/81 advise the licensee of the fire system finds any information that the partiage as probabiled by law to an opposessing or receiving a firearm. For particle of this form, content of 200S include State agencies designated as points-of-contect (for POCs*) and other NICS checks for the Federal Government.

The licensee should NOT contact NiCS and mass stop the transaction of aftere is reasonable cause to believe that the transfereyor is prombled not a coloring or possessing a firearm, including a discretification of an arrangements of a question 11.a.; the transferee/buyer has unswerd yes? It also question in 11.b. 10.4 (12.b) 12.b. 12.c.; the transferee/buyer has unswerd yes? To question 12.d., at the transferee/buyer has unswerd yes? To question 12.d., at the transferee/buyer has unswerd yes? To question 12.d. at the transferee/buyer has unswerd yes? To question 12.d. at the transferee/buyer has unswerd yes? To question 12.d., at the transferee/buyer has unswerd yes? To question 12.d. at the transferee/buyer has one provided the color of the provided the color o

At the time that NICS is contacted, the increase them record in quasien (9 a. \pm 19.6), the date of contact, the NICS $\delta e^{-(n_0-n_0)}$ transaction number, and the initial (first) response provided by NtCS or the Stone. The Econsee may record on date the firearms may be transferred to the anstercerbuyer (also known as the Missing Disposition Information (MDI) date, in 1922, that MICS provides for delayed transactions (States may not provide our order, if the hoonsee tecchyon thy subsequent response(s) before transferred the months, the licensee was record in question 19.d. any response later provided by MCS of the State, or first noresponse was provided within 3 business asyn. If the transaction was combut and later overturned in addition to checking use "Proceed" and emering the owie, the licensee must also check the "Overturnett" look and, if provided, whose a tieoverturn certificate issued by NICS of the Same POC to the ATT Form 94 ha. If the licensee receives a response from SICA or the State when the literary two countransferred, ne/she must record this care motion in question 19.6. Prove States acting as points of contact for NiCS checks may use terms other than "crossaed," "delayed," "concelled," or 'demed." In such cases, the hidased should check the box that corresponds to the State's resp. We. Some States may not provide a transaction number for denials. However, $x \in \mathbb{R}^{n}$ for the state of the second states of the se r cuirsi three business day period, a transaction

NICS responses: If NiCS provides a "percent" in proper to the proper of the transfer of the property of the property of the property of the transfer of the tr

Questions 20 and 21. NICS Exceptions. In the scent more than the transfer qualifies for any of the exceptions of 1000 B 478,000 (f). Construction include: (a) transfers of National Patricle. The above can include the transferee/object has prosented as a construction of the transferee/object has prosented as allows the transferee/object to possess, and the construction of the transferee object to possess, and the construction of the transferee object to the construction of the constructio

1 1470S check mere he benefucted if an NFA firearm has been approved for checking a trum, or to a legal entity such as a corporation, and no background of ech was conducted up port of the NFA approval process on the individual who have endergone a background check as one the NFA application process are listed on the approved NFA transfer form.

Section C

Buth Cours 22 cm 3.27. Transfer on a Different Day and Recertification: If the transfer take, 1180, in a different day from the date that the transferee/buyer signate Section A transfer see must again check the photo identification of the transferee/buyer active time of transfer.

Section D

Commen 2003. Persearmo) Description: These blocks must be completed with Colling in Figuresian. Finarms manufactured after 1968 by Federal firearms in the serial rull all the marked with a serial number. Should you acquire a firearm the seriagatry not merked, life a serial number (i.e. pre-1968); you may answer at 120 with 10.5 of Geo Serial Number), 'N/A" or "None."

It have than four futures are involved in a transaction, the information required by Rection D. questions 24-28, must be provided for the additional firearms on a supplier which is paper, with most be attached to this ATF Form 4473.

", coof finances). Acou, but are not limited to: pistol, revolver, rifle, shotgun, te avoi, france and other lacerins that are neither handguns nor long guns (rifles to a reguns), such as those ms having a pistol grip that expel a shotgun shell (pistol good hearm) of NFA freezins (machinegun, silencer, short-barreled shotgun, she to barreled rifle districtive device or "any other weapon").

to thomas freedom) purchases by the same transferee/buyer may not be added to to down after the transfere /seller has signed and dated it. A transferee/buyer who visces of accepts us a trong freedoms after the transferor/seller has signed and acted the form representations. ATF Form 4473 and undergo a new NICS of order.

4 - stom 3). This he is not nor the incensee's use in recording any information he/ see their necessary to consider business.

Question 32. Smedit this box, or write "Private Party Transfer" in question 31, if the meanage is translating the sale or transfer of a firearm between private translated the relative decordance with ATF Procedure 2013-1. This will assist the Reensee by documenting which transaction records correspond with private procedure and records there may be no corresponding A&D entries when the transaction records according to proceed because it was denied, delayed, or cancelled.

Eriency Act Information

the manner that we include a surhorized under 18 U.S.C. 923(g). Disclosure of the information by the transferee/buyer is mandatory for the transfer of a fire rm. Disclosure of the individual's Social Security number is voluntary. The transferee's/buyer's identity.

For preconation about the reatine uses of this form see System of Records Notice to the PK-1801, No. 1 2007 Enforcement Records System (68 FR 163558, Temporable, 2005).

Paperwork Reduction Act Notice

The formation is the form is in accordance with the Paperwork Role of Ant of the The purpose of the information is to determine the cool of the translation and possess firearms under Pederal law. The tension is sufficiently according by ATF officers and is required by 18 U.S.C. Section 523.

The invariant of the fraction of the fraction

As ago not may not out duritor sponsor, and a person is not required to respond to, a matter tion of information amiess it displays a currently valid OMB control matter. The not assured

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G#23761

OMB No. 1140-0020

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Firearms Transaction Record

	1 (0 11	
WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to Iransiero	LS/2cm	crs
determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.		
Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises"	1 ,	
includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."	11 (15)	Te V
Section A - Must Be Completed Personally By Transferee/Buyer	"	
1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record "IO" after the initial. If no middle initial or name, record "NMN")	
Last Name (Including suffix (e.g., Jr, Sr, II, III)) First Name Middle Name		
YOO - HEON - JONG -		
2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.) Number and Street Address	ZIP Co	nda
Number and Street Address County State State State SAUL OF A CREAMER BLAZE TOOK SAUL OF A CR	75703	
Of Sybil La Apt 101 Hy 2018 OF THE SMITH	10103	•
3. Place of Birth (2007) 4. Height F. Weight 6. Sex 7. Birth Date		
	ear	
· · · · · · · · · · · · · · · · · · ·	993	
8. Social Security Number (Optional, but will help prevent misidentification) 9. Unique Personal Identification Number (UPIN) if applicable (Security Security Number (UPIN) if applicable (Security Security Number (UPIN) is applicable (Security Number (UPIN) if applicable (Security Number (UPIN) is applicable (Security Number (UPIN) if applicable (Security Number (UPIN) is applicable (Security Number (UPIN) if applicable (Security Number (UPIN) is applicable (Security Number (UPIN) if applicable (Security Number (UPIN) is	e	
10.a. Ethnicity 10.b. Race (In addition to ethnicity, select one or more race in 10.b. Both 10.a. and 10.b. must be answered.)		
Hispanic or Latino American in figure Alasca Native Danes or Africa Autorican White		
Not Hispanic or Latino Asian Native Hawalian or Unier Pacific Islander		
11. Answer the following questions Ly checking or marking "yes" or "no" in the boxes to the right of the questions.	Yes	No
a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warring: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may proceed to question 11.b. (See Instructions for Question 11.a.)	2	Ø
b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)	p	183
c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one yet even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)	u, D	82
d. Are you a fugitive from justice? (See instructions for Question 11.d.)	Ø	182
e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimplant pracotic drug, or any other controlled substance? Warning: The use or possession of marijuana remains unlawful under frederal law regardless of whether it has been legalized or decriminalized for medicinal or recreational purposes in the state where you reside.	Ø	1 23
f. Have you ever been adjudicated as a regental defective OR have you ever been committed to a mental institution? (See Instructions for Question 11.f.)	Ø	183
g. Have you been discharged from the Armed Forces under distionarable conditions?	a	120
h. Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner (See Instructions for Question 11.h.)	r? 🔯	8 2
i. Have you ever been convicted in any court of a misdemonant crime of demestic violence? (See instructions for Question 11.1.)	Ø	183
12.a. Country of Citizenship: (Check/List more than one if or recable Nationals of the United States may check U.S.A.)		الببيباء
W United States of America (U.S.4., Other Country/Countries (typecy))	137	137-1
12.b. Have you ever renounced your limited States a sizenship.	Yes	No ₩
12.c. Are you an alien illegally or unlawfully in the United States?		183
12.d.1. Are you an alien who has been admitted to the United States under a nonimpugrant visa? (See Instructions for Question 12.d.)	Ø	8
12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?		
13. If you are an alien, record you, 11.5 steamed Alien or Admission number (AR4, USCISH or 1044).		

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I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF

prohibited from receiving or possessing 18.c. I also understand that making any	and/or local law. I understand from purchasing or receiving a a fircarm, unless the person ar false oral or written statemen clony under Federal law, and n	that a person who answers "yes" a firearm. I understand that a personwers "yes" to question 12.d.2. and t, or exhibiting any false or misreguay also violate State and/or local	to any of the questions 11.b. through 11.i son who answers "yes" to question 12.d.1. is ad provides the documentation required in presented identification with respect to this law. I further understand that the repetitive
14. Transferee's/Buyer's Signature			15. Certification Date
		<u> </u>	10/11/01
16 Tour of Garage (2) + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 +		ompleted By Transferor/Seller	
16. Type of firearm(s) to be transferred (ch	*	17. If transfer is at a qualify	ing gun show or event:
Handgun K Long Gun (rifles or shotguns)	Other Firearm (frame, received See Instructions for Question		
18.a. Identification (e.g., Virginia Driver)			
Issuing Authority and Type of Idemificatio TEXAS		ation	Expiration Date of Identification (if any)
CONCEALED HANDGUN LICENSE	06735130		Month Day Year 8 28 2020
	ocumentation (if id atification d		lence address) (See Instructions for Question
18.6.)	осиненивун (ў завніўсанон ш	ocument does not snow current resid	tence dauress) (See Instructions for Question
18.c. Exception to the Nonimmigrant Alies documentation showing the exception	n Prohibition: If the transferee/b n to the prohibition and attach a	ouyer answered "YES" to 12.d.2. the copy to this ATF Form 4473. (See In	transferor/seller must record the type of instructions for Question 18,c.)
Questions 19, 20, or 21 Must B	e Completed Frio: To The Tra	insfer Of The Firearm(s) (See Insti	ructions for Questions 19, 20 and 21.)
19.a. Date the transferee's/buyer's identify transmitted to NICS or the appropria	ing information in Section A was te State agency:	s 19.b. The NICS or State tran	nsaction number (if provided) was:
Month Day Year			ţ
19.c. The response initially (first) provided agency was:	d by NICS or the appropriate Sta	te 19.d. The following respons appropriate State agen	e(s) was/were later received from NICS or the cy:
Proceed Delayed		Proceed	(date)
Denied The firect	rin(s) inay se transferred on	[.] Denied	(date)
Cancelled	if State law rermits (optic	onal)] Cancelled	(date)
		No response was provide	led within 3 business days.
19.e. (Complete if applicable.) After the fi			
		Denied Cance	
19.f. The name and Brady identification m		ptional) 19.g. Name of FFL Em JOHNNIE. FOS	ployee Completing NICS check. (Optional)
(name)	(number)		
20. No NICS check was required become NFA firearm(s), as reflected on the	cause a background check was co be approved NFA application. (1)	ompleted during the NFA approval p See Instructions for Question 20.)	rocess on the individual who will receive the
	cause the transferge/hover has a		e transfer is to take place, which qualifies as an
Issuing State and Permit Type	Date of Issuance (if any)	Expiration Date (if any)	Permit Number (if any)
TEXAS, CCP/CPL/CHL/LTC		8/28/2020	06735130
		ted Personally By Transferee/Buy	
If the transfer of the firearm(s) takes place section C immediately prior to the transfer	on a different day from the date to of the firearm(s). (See Instruction	that the transferce/buyer signed Sections for Question 22 and 23.)	ion A, the transferee/buyer must complete
I certify that my answers to the question			N.
22. Transferee's/Buyer's Signature			23. Recertification Date
Page 2 of 6	Transferor/Seller C	ontinue to Next Page	ATF E-Form 4473 (5300 9)

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. ICTL - Plus - was (a) in Mat Tunnefound

Section D = Winst D	e Completed by	ransieror/Seher Even II The Pirearings) is in		r			
24. Manufacturer and Importer (If any) (If the manufacturer and importer are different, the FFL must include both.)	25. Model (If Designated)	26. Serial Number	27. Type (See Instructions for Question 27.)	28. Caliber or Gauge			
1. MOSSBERG / N/A	590	R188306	SHOTGUN	12 GA			
2.							
3.							
4.							
REMINDER - By the Close of Business Comp	lete ATF Form 3	310.4 For Muttiple Purchases of Handguns V	Vithin 5 Consecutive Busin	ness Days			
29. Total Number of Firearms Transferred (Pleatwo, three, etc. Do not use numerals.) On	<i>se handwrite by p</i> e		this transaction is a pawn r) From Question 24 Above:	edemption.			
31. For Use by Licensee (See Instructions for Q	uestion 31)		ction is to facilitate a private ons for Question 32.)	party transfer.			
33. Trade/corporate name and address of transferor/seller and Federal Firearm License Number (Must contain at least first three and last five digits of FFL Number X-XX-XXXX.) (Hand stamp may be used.) CASH AMERICA PAWN OF TYLER #3, 2013 W SOUTHWEST LOOP 323, TYLER, TEXAS, 75701							
		The Firearm(s) Must Complete Questions 3- erson Who Completed Section B Must Comp					
I certify that: (1) I have read and understand Sections B and D is true, correct, and complet premises" includes business temporarily condunless this transaction has met the requireme basis of—(1) the transferee's/huyer's responduestion 18 (and my re-verification at the tim—it is my belief that it is not unlawful for me identified in Section A.	the Notices, Inst. e; and (3) this en- lucted from a qua- nts of 18 U.S.C. 9 ses in Section A (i- e of transfer, if Se	ructions, and Definitions on this ATF Form 4 fire transaction record has been completed a diffying gun show or event in the same State it 22(c). Unless this transaction has been denied and Section C, if applicable); (2) my verificate ection C was completed); and (3) State or loca	473; (2) the information r t my licensed business pre n which the licensed prem d or cancelled, I further c ion of the identification re l law applicable to the fire	mises ("licensed lises is located) ertify on the ecorded in earms business			
34. Transferor's Seller's Name (Please print) NOTICES, INSTRUCTIONS, AND	20	ror's/Seller's Signature 36. Transfero		Date Transferred - ワーパ			

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S. C. 923 may determine if heisne may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferee/buyer of certain restrictions on the receipt and possession of firearms. The transferor/seller of a firearm must determine the lawfuness of the transaction and maintain proper records of the transaction. Consequently, the transferor/seller must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfuness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/seller is presumed to know the applicable State laws and published ordinances in both the transferor/s/seller's State and the transferor's/buyer's State. (See ATF Publication 5300.5 State Laws and Published Ordinances.)

Generally, ATF Form 4473 must be completed at the licensed business premises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer, or desilor to sell a firearm to nonlicensee who does not appear in person at the licensee's beginness traines only if the transferee/buyer meets certain requirements. These requirements are six forth in section 922(c), 27 CFR 478 96(b), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he/sne must make the completed, original ATF Form. At 10 fishich includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filling may be chronological (by date of disposition), alphabetical (by name of pr. chaser), or numerical (by transaction serial number), as long as all of the transferor steller's completed Forms 4473 are filled in the same manner.

CORITS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a filearm is denied/cancelled by NICS, or if for any other reason the reasfer is not completed after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his/her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name of transferee) or chronological (by date of transferee's certification) order.

If the transferon/scaler of the transferee/buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor/seller or the transferee/buyer wishes to correct the omission(s) or er. or(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Sections B and D. The transferee/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor scaler's permanent records.

Diportation of Fiveaux 3: The State or Commerce Departments may require a firearms exported to obtain a litense prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

Section A

The transferee/buyer must personally complete Section A of this form and certify ($n_s n_s$) that the answers are true, correct, and complete. However, if the transferee/buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor/seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

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When the transferee/buyer of a firearm is a corporation, company, association, partnership, or other such business entity, an officer authorized to act on behalf of the business must complete Section A of the form with his/her personal information, sign Section A, and attach a written statement, executed under penalties of perjury, stating. (A) the firearm is being acquired for the use of and will be the property of that business entity; and (B) the name and address of that business entity.

Question 1. If the transferee's/buyer's name in question 1 is illegible, the transferor/seller must print the transferee's/buyer's name above the name written by the transferee/buyer.

Question 2. Current Residence Address: A rural route (RR) may be accepted provided the transferee/buyer lives in a State or locality where it is considered a legal residence address. County and Parish are one and the same.

If the transferee/buyer is a member of the Armed Forces on active duty, his/her State of residence is the State in which his/her permanent duty station is located. If the service member is acquiring a firearm in a State where his/her permanent duty station is located, but resides in a different State, the transferee/buyer must list both his/her permanent duty station address and his/her residence address in response to question 2. If the transferee/buyer has two States of residence, the transferee/buyer should list his/her current residence address in response to question 2 (e.g., if the transferee/buyer is purchasing a firearm while staying at his/her weekend home in State X, he/she should list the address in State X in response to question 2).

Question 9. Unique Personal Identification Number (UPIN): For transferees/ buyers approved to have information maintained about them in the FBI NICS Voluntary Appeal File, NICS will provide them with a UPIN, which the transferee/ buyer should record in question 9. The licensee should provide the UPIN when conducting background checks through the NICS or the State POC.

Question 10.a. and 10.b. Federal regulations (27 CFR 473.124(c)(1)) require licensees to obtain the race of the transferee/buyer. This information helps the FBI and/or State POC make or rule out potential matches during the background check process and can assist with criminal investigations. Pursuant to Office of Management and Budget (OMB), effective January 1, 2003, all Federal agencies requiring collection of race and ethnicity information on administrative forms and records, were required to collect this information in a standard format. (See 62 FR 58782) The standard OMB format consists of two categories for data on ethnicity: "Hispanic or Latino," and "Not Hispanic or Latino" and five categories for data on race: American Indian or Alaska Native, Asian, Black or Airican American, Native Hawaiian or Other Pacific Islander, and White.

Ethnicity refers to a person's heritage. Persons of Cuban, Mexican, Puetto Rican, South or Central American, or other Spanish culture or origin, regardless of race, are considered Hispanic or Latino.

Race - one or more of the following responses must be selected: (1) American Indian or Alaska Native - A person having origins in any of the original peoples of North and South America (including Central America), and who maintains a tribal affiliation or community attachment; (2) Asian - A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam, (3) Black or African American - A person having origins in any of the Black racial groups of Africa; (4) Native Hawaiian or Other Pacific Islander - A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands; and (5) White - A person having origins in any of the original peoples of Europe, the Middle East, or North Africa. Any other race or ethnicity that does not fall within those indicated, please select the closest representation.

Question 11.a. Actual Transferee/Buyer: For purposes of this form, a person is the actual transferee/buyer if he/she is purchasing the firearm for him/herself of otherwise acquiring the firearm for him/herself. (e.g., redeeming the firearm from pann, retrieving it from consignment, firearm raffle winner). A person is also the actual transferce/buyer if he/she is legitimately purchasing the firearm; as a bonu fide gift for a third party. A gift is not bona fide if another person offered or gave the person completing this form money, service(s), or item(s) of value to acquire the firearm for him/her, or if the other person is prohibited by law from receiving or possessing the firearm.

Actual TRANSFEREE/buyer examples: M.: Smith asks Mr. Jones to purchase a firearm for Mr. Smith (who may or may not be prohibited). Mr. Smith gives Mr. Jones the money for the firearm. Mr. Jones is NOT THE Page 4 of 6

ACTUAL TRANSFEREE/BUYER of the Grearm and must answer "NO" to question 11.a. The licensee may not transfer the firearm to Mr. Jones. However, if Mr. Brown buys the firearm with his own money to give to Mr. Black as a gift (with no service or tangible thing of value provided by Mr. Black), Mr. Brown is the actual transferee/buyer of the firearm and should answer "YES" to question 11.a. However, the transferer/seller may not transfer a firearm to any person he/she knows or has reasonable cause to believe is prohibited under 18 U.S.C. 922(g), (n) or (x). EXCEPTION: If a person is picking up a repaired firearm(s) for another person, he/she is not required to answer 11.a. and may proceed to question 11.b.

Question 11.b. - 12. Generally, 18 U.S.C. 922(g) prohibits the shipment, transportation, receipt, or possession in or affecting interstate commerce of a firearm by one who: has been convicted of a felony in any Federal, State or local court, or any other crime, punishable by imprisonment for a term exceeding one year (this does not include State misdemeanors punishable by imprisonment of two years or less); is a fugitive from justice; is an unlawful user of, or addicted to, marijuana or any depressant, stimulant, or narcotic drug, or any other controlled substance; has been adjudicated as a mental defective or has been committed to a mental institution; has been discharged from the Armed Forces under dishonorable conditions; is subject to certain restraining orders; convicted of a misdemeanor crime of domestic violence under Federal, State or Tribal law; has renounced his/her U.S. citizenship; is an alien illegally in the United States or an alien admitted to the United States under a nonimmigrant visa. Furthermore, section 922(n) prohibits the shipment, transportation, or receipt in or affecting interstate commerce of a firearm by one who is under indictment or information for a felony in any Federal, State or local court, or any other crime, punishable by imprisonment for a term exceeding one year. An information is a formal accusation of a crime verified by a prosecutor.

A member of the Armed Forces must answer "yes" to 11.b. or 11.c. if charged with an offense that was either referred to a General Court Martial, or at which the member was convicted. Discharged "under dishonorable conditions" means separation from the Armed Forces resulting from a dishonorable discharge or dismissal adjudged by a General Court-Murtial. That term does not include any other discharge or separation from the Armed Forces.

EXCEPTION: A person who has been convicted of a felony, or any other crime, for which the judge could have imprisoned the person for more than one year, or who has been convicted of a misdemeanor crime of domestic violence, is not prohibited from purchasing, receiving, or possessing a firearm if: (1) under the law of the jurisdiction where the conviction occurred, the person has been pardoned, the conviction has been expunged or set aside, or the person has had their civil rights (the right to vote, sit on a jury, and hold public office) taken away and later restored, AND (2) the person is not prohibited by the law of the jurisdiction where the conviction occurred from receiving or possessing firearms. Persons subject to this exception, or who receive relief from disabilities under 18 U.S.C. 925(c), should answer "no" to the applicable question.

Question 11.d. Engitive from Justice: Any person who has fled from any State to avoid prosecution for a felony or a misdemeanor; or any person who leaves the State to avoid giving testimony in any criminal proceeding. The term also includes any person who knows that misdemeanor or felony charges are pending against such person and who leaves the State of prosecution.

Question 11.f. Adjudicated as a Mental Defective: A determination by a court, board, commission, or other lawful authority that a person, as a result of marked subnermal intelligence, or mental illness, incompetency, condition, or disease: (1) is a danger to himself or to others; or (2) lacks the mental capacity to contract or manage his own affairs. This term shall include: (1) a finding of insanity by a court in a crimmal case, and (2) those persons found incompetent to stand trial or found not guilty by reason of lack of mental responsibility.

Committed to a Mental Institution: A formal commitment of a person to a mental institution by a count, coard, commission, or other lawful authority. The term includes a commitment to a mental institution involuntarily. The term includes commitment for mental defectiveness or mental illness. It also includes commitments for other reasons, such as for drug use. The term does not include a person in a mental institution for observation or a voluntary admission to a mental institution.

EXCEPTION: Under the NICS Improvement Amendments Act of 2007, a person who has been adjudicated as a mental defective or committed to a mental institution in a State proceeding is not prohibited by the adjudication or commitment if

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the person has been granted relief by the adjudicating/committing State pursuant to a qualifying mental health relief from disabilities program. Also, a person who has been adjudicated as a mental defective or committed to a mental institution by a department or agency of Federal Government is not prohibited by the adjudication or commitment if either: (a) the person's adjudication or commitment was set-aside or expunged by the adjudicating/committing agency; (b) the person has been fully released or discharged from all mandatory treatment, supervision, or monitoring by the agency; (c) the person was found by the agency to no longer suffer from the mental health condition that served as the basis of the initial adjudication/ commitment; or (d) the adjudication or commitment, respectively, is based solely on a medical finding of disability, without an opportunity for a hearing by a court, board, commission, or other lawful authority, and the person has not been adjudicated as a mental defective consistent with section 922(g)(4) of title 18, United States Code; (e) the person was granted relief from the adjudicating/ committing agency pursuant to a qualified mental health relief from disabilities program. Persons who fall within one of the above exceptious should answer "no" to question 11.f. This exception to an adjudication or commitment by a Federal department or agency does not apply to any person who was adjudicated to be not guilty by reason of insanity, or based on lack of mental responsibility, or found incompetent to stand trial, in any criminal case or under the Uniform Code of Military Justice.

Question 11.h. Qualifying Restraining Orders: Under 18 U.S.C. 922, firearms may not be sold to or received by persons subject to a court order that. (A) was issued after a hearing which the person received actual notice of and had an opportunity to participate in; (B) restrains such person from harassing, stalking, or threatening an intimate partner or child of such intimate partner or person, or engaging in other conduct that would place an intimate partner in reasonable fear of bodily injury to the partner or child; and (C)(i) includes a finding that such person represents a credible threat to the physical safety of such intimate partner or child; or (ii) by its terms explicitly probrots the use, attempted use, or threatened use of physical force against such intimate partner or child that would reasonably be expected to cause bodily injury. An intimate partner of a person is: the spouse or former spouse of the person, the parent of a child of the person, or an individual who cohabitates or has cohabitated with the person.

Question 11.i. Misdemeanor Crime of Domestic Violence: A Federal, State, local, or tribal offense that is a misdemeanor under Federal, State, or tribal law and has, as an element, the use or attempted use of physical force, or the threatened use of a deadly weapon, committed by a current or former spouse, parent, or guardian of the victim, by a person with whom the victim shares a child in common, by a person who is cohabitating with, or has cohabited with the victim as a spouse, parent, or guardian, or by a person similarly situated to a spouse, parent, or guardian of the victim. The term includes all misdemeanors that have as an element the use or attempted use of physical force or the threatened use of a deadly weapon (e.g., assault and battery), it the offensa is committed by one of the defined parties. (See Exception to 11.b. - 12.) A person who has been convicted of a misdemeanor crime of domestic violence also us not prohibited unless: (*) the person was represented by a lawyer or gave up the right to a lawyer; or (2) if the person was entitled to a jury, was tried by a jury, or gave up the right to a jury trial. Persons subject to this exception should answer "no" to 11.i.

Question 12.d. Immigration Status: An alien admitted to the United States under a nonimmigrant visa includes, among others, persons visiting the United States temporarily for business or pleasure, persons studying in the United States who maintain a residence abroad, and certain temporary foreign workers. These aliens must answer "yes" to this question and provide the additional documentation required under question 18.c. Permanent resident aliens and aliens legarly admitted to the United States pursuant to either the Visa Waiver Program or to regulations otherwise exempting them from visa requirements may answer "no" to this question and are not required to submit the additional documentation under question 18.c.

Question 13, U.S.-issued Alien Number or Admission Number: U.S.-issued alien and admission numbers may be found on the following U.S. Department of Homeland Security documents. Legal Residem Card or Employment Authorization Card (AR# or USCIS#): Arrival/Departme Record, Torm 194, or Form 797A (194#). Additional information can be obtained from www.cbp.gov. If you are a U.S. citizen or U.S. national then mis question should be left blank.

Question 14. Under 18 U.S.C. 922(a)(1), it is unlawful for a person to engage in the business of dealing in firearms without a locates. A person is engaged in the business of dealing in firearms if he/she devotes time, attention, and knot to dealing in firearms as a regular course of trade or business with the proceeding.

objective of livelihood and profit through the repetitive purchase and resale of firearms. A license is not required of a person who only makes occasional sales, exchanges, or purchases of firearms for the enhancement of a personal collection or for a hobby, or who sells all or part of his/her personal collection of firearms.

Section B

Question 16. Type of Firearm(s): "Other" refers to frames, receivers and other firearms that are neither handguns nor long guns (rifles or shotguns), such as firearms having a pistol grip that expel a shotgun shell, or National Firearms Act (NFA) firearms, including silencers.

If a frame or receiver can only be made into a long gun (rifle or shotgun), it is still a frame or receiver not a handgun or long gun. However, frames and receivers are still "firearms" by definition, and subject to the same GCA limitations as any other firearms. See Section 921(a)(3)(B). Section 922(b)(1) makes it unlawful for a licensee to sell any firearm other than a shotgun or rifle to any person under the age of 21. Since a frame or receiver for a firearm, to include one that can only be made into a long gun, is a "firearm other than a shotgun or rifle," it cannot be transferred to anyone under the age of 21, nor can these firearms be transferred to anyone who is not a resident of the State where the transfer is to take place. Also, note that multiple sales forms are not required for frames or receivers of any firearms, or pistol grip snotguns, since they are not "pistols or revolvers" under Section 923(g)(3)(A).

Question 17. Qualifying Gun Show or Event: As defined in 27 CFR 478,100, a gun show or event is a function sponsored by any national, State, or local organization, devoted to the collection, competitive use, or other sporting use of firearms, or an organization or association that sponsors functions devoted to the collection, competitive use, or other sporting use of firearms in the community.

Question 18.a. Identification: Before a licensee may sell or deliver a firearm to a nonlicensee, the licensee must establish the identity, place of residence, and age of the transferee/buyer. The transferee/buyer must provide a valid government-issued photo identification document to the transferor/seller that contains the transferee's/buyer's name, residence address, and date of birth. A driver's license or an identification card issued by a State in place of a license is acceptable. Social Security cards are not acceptable because no address, date of birth, or photograph is shown on the cards. A combination of government-issued documents may be provided. See instructions for question 18.b. Supplemental Documentation.

If the transferce/buyer is a member of the Armed Forces on active duty acquiring a firearm in the State where his/her permanent duty station is located, but he/she has a driver's license from another State, the transferor/seller should list the transferce's/buyer's military identification card and official orders showing where his/her permanent duty station is located in response to question 18.a. Licensees may accept electronic ICCS orders to establish residency.

Question 18.b. Supplemental Documentation: Licensees may accept a combination of valid government-issued documents to satisfy the identification document requirements of the law. The required valid government-issued photo identification document bearing the name, photograph, and date of birth of transferce/shayer may be supplemented by another valid, government-issued document showing the transferce/s/buyer's residence address. This supplemental documentation should be recorded in question 18.b., with the issuing authority and type of identification presented. For example, if the transferce/buyer has two States of residence and is trying to buy a handgun in State X, he may provide a driver's license (showing his ranne, date of birth, and photograph) issued by State Y and another government-issued document (such as a tax document) from State X showing his residence address. A valid electronic document from a government website may be used as supplemental documentation provided it contains the transferce's/buyers name and current residence address.

Question 18.c. Exceptions to the Nonimmigrant Alien Prohibition and Acceptable Documentation: An alien admitted to the United States under a nonimmigrant visa is not prohibited from purchasing, receiving, or possessing a finearm if the alien (1) is in possession of a hunting license or permit lawfully issued by the Federal Government, a State of local government, or an Indian tribe federally recognized by the Eureau of Indian Affairs, which is valid and unexpired; (2) was admitted to the United States for lawful hunting or sporting purposes; (3) has received a waiver from the prohibition from the Attorney General of the United States, (4) is an official representative of a foreign government who is accordited to the United States Government or the Government's mission to an international organization having its

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headquarters in the United States, (5) is an official representative of a foreign government who is on route to or from another country to which that alien is accredited; (6) is an official of a foreign government or a distinguished foreign visitor who has been so designated by the Department of State; or (7) is a foreign law enforcement officer of a friendly foreign government entering the United States on official law enforcement business.

Question 19. NICS BACKGROUND CHECKS: 18 U.S.C. 922(t) requires that prior to transferring any firearm to an unlicensed person, a licensed importer, manufacturer, or dealer must first contact the National Instant Criminal Background Check System (NICS). NICS will advise the licensee whether the system finds any information that the purchaser is prohibited by law from possessing or receiving a firearm. For purposes of this form, contacts to NICS include State agencies designated as points-of-contact ("or POCs") to conduct NICS checks for the Federal Government

The licensee should NOT contact NICS and must stop the transaction of there is reasonable cause to believe that the transferee/buyer is prohibited from receiving or possessing a firearm, including if: the transferee/buyer answers "no" to question 11.a.; the transferee/buyer answers "yes" to any question in 11.b. 11.i. or 12.b. 12.c.; the transferee/buyer has answered "yes" to question 12.d.l., and answered "no" to question 12.d.2.; or the transferee/buyer cannot provide the documentation required by questions 18.a, b, or c. WARNING: Any person who transfers a firearm to any person he/she knows or has reasonable cause to believe is prohibited from receiving or possessing a firearm violates the law, even if the wausferor/seller has complied with the Federal background check requirements.

At the time that NICS is contacted, the licensee must record in question 19.a. -19.c.: the date of contact, the NICS (or Sture) transaction number, and the initial. (first) response provided by NICS or the State. The licensee may record the date the firearms may be transferred to the transferee/buyer (also known as the Missing Disposition Information (MDI) date) in 19.c. that NICS provides for delayed transactions (States may not provide this date). If the licensee receives any subsequent response(s) before transferring the firearm, the licensee must record in question 19.d. any response later provided by NICS or the State, or that no response was provided within 3 business days. If the transaction was denied and later overturned in addition to checking the "Proceed" and entering the date, the licensee must also check the "Overturnec" box and, if provided, accent he overturn certificate issued by NICS or the State POC to the ATF Form 4473. If the licensee receives a response from NICS or the State after the firearm has been transferred, he/she must record this information in question 19.e. Note: States acting as points of contact for NICS checks may use terms other than "proceed," "delayed," "cancelled," or "denied." In such cases, the licensee should check the box that corresponds to the State's response. Some States may not provide a transaction number for denials. However, if a firearm is transferred within the three business day period, a transaction number is required.

NICS responses: If NICS provides a "proceed" response, the transaction may proceed. If NICS provides a "cancelled" or "teamed" response, the transferonseller is prohibited from transferring the firearm to the transferee/buyer. If NiCS provides a "delayed" response, the transferonseller is prohibited from transferring the lifearm unless 3 business days have elapsed and, before the transfer, NICS of the State has not advised the transferor/seller that the transferee/s/buyer's receipt of possession of the firearm would be in violation of law. (See 27 GFR 478.102(a) for an example of how to calculate 3 business days.) If NICS provides a "delayed" response, NICS also will provide a Missing Disposition Information (MDI) date that calculates the 3 business days and reflects when the firearm's) can be transferred under Federal law. States may not provide an MDI date. State law may impose a waiting period on transferring firearms.

Questions 20 and 21. NICS Exceptions. A NICS check is not required if the transfer qualifies for any of the exceptions in 27 CFR 478.192(d). Generally these include: (a) transfers of National Firearms Act firearms to an incovidual who has undergone a background check during the NICA approval process, (b) transfers where the transferee/buyer has presented the licensee with a permit or hoence that allows the transferee/buyer to possess, acquire, or carry a firearm, and the permit has been recognized by ATF as exempt because compliance with the NICS check requirement, or (c) transfers certified by ATF as exempt because compliance with the NICS check requirements is impracticable. If the transfer qualifies for one of these exceptions, the licensee must obtain the documentation required by 27 LeTs.

478.131. A firearm must not be transferred to any transferee/buyer who finds to provide such documentation.

A NICS check must be conducted if an NFA firearm has been approved for transfer to a trust, or to a legal entity such as a corporation, and no background check was conducted as part of the NFA approval process on the individual who will receive the firearm. Individuals who have undergone a background check during the NFA application process are listed on the approved NFA transfer form.

Section C

Questions 22 and 23. Transfer on a Different Day and Recertification: If the transfer takes place on a different day from the date that the transferee/buyer signed Section A, the licensee must again check the photo identification of the transferee/buyer at the time of transfer.

Section D

Question 24-28. Firearm(s) Description: These blocks must be completed with the firearm(s) information. Firearms manufactured after 1968 by Federal firearms licensees should all be marked with a serial number. Should you acquire a firearm that is legally not marked with a serial number (i.e. pre-1968); you may answer question 26 with "NSN" (No Serial Number), "N/A" or "None."

If more than four firearms are involved in a transaction, the information required by Section D, questions 24-28, must be provided for the additional firearms on a separate sheet of paper, which must be attached to this ATF Form 4473.

Types of firearms include, but are not limited to: pistol, revolver, rifle, shotgun, receiver, frame and other firearms that are neither handguns nor long guns (rifles or shotguns), such as firearms having a pistol grip that expel a shotgun shell (pistol grip firearm) or NFA firearms (machinegun, silencer, short-barreled shotgun, short-barreled rifle, destructive device or "any other weapon").

Additional firearms purchases by the same transferee/buyer may not be added to the form after the transferor/seller has signed and dated it. A transferee/buyer who wishes to acquire additional firearms after the transferor/seller has signed and dated the form must complete a new ATF Form 4473 and undergo a new NICS check.

Question 31. This item is for the licensee's use in recording any information he/ site finds necessary to conduct business.

Question 33. Check this sex, or write "Private Party Transfer" in question 31, if the licensee is facilitating the sale or transfer of a firearm between private unlicensed individuals in accordance with ATF Procedure 2013-1. This will assist the licensee by documenting which transaction records correspond with private party gansfers, and v by there may be no corresponding A&D entries when the transfer did not proceed because it was denied, delayed, or cancelled.

Privacy Act Information

Solicitution of this information is authorized under 18 U.S.C. 923(g). Disclosure of this information by the transferee/buyer is mandatory for the transfer of a firearm. Disclosure of the individual's Social Security number is voluntary. The number may be used to verify the transferee's/buyer's identity.

For information about the routine uses of this form see System of Records Notice Justice/ATI -008, Regulatory Enforcement Records System (68 FR 163558, January 24, 2003).

Paperwork Reduction Act Notice

The information required on this form is in accordance with the Paperwork Reduction Act of 1995. The purpose of the information is to determine the eligibility of the transferee to receive and possess firearms under Federal law. The information is subject to inspection by ATF officers and is required by 18 U.S.C. 922 and 923.

The estimated average burden associated with this collection is 30 minutes per remondent or recordiceper, depending on individual circumstances. Comments about the accuracy of this burden estimate and suggestions for reducing it should be directed to Reports Management Officer, IT Coordination Staff, Bureau of Alcohol, Tobacco, i neuros and Explosives, Washington, DC 20226.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Confidentiality is not assured.